# ER-associated degradation in protein quality control and cellular regulation

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The ER-associated degradation (ERAD) pathway directs ubiquitin-mediated degradation of a variety of ER-associated misfolded and normal proteins. Recent studies have delineated the molecular machinery responsible for protein ubiquitination and highlighted mechanistic questions surrounding the recognition, extraction and proteasomal destruction of the diverse array of ERAD substrates. Consideration of separate lines of work on this versatile pathway now indicate that despite its central role as an avenue of cellular quality control, ERAD is also harnessed for feedback regulation of sterol synthesis, and most likely numerous other cellular function, and imply that cellular quality-control pathways could be widely employed in both natural and pharmaceutical control of individual proteins.

#### Addresses

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#### Abbreviations

CFTR	cystic fibrosis transmembrane conductance regulator
CPY	carboxypeptidase Y
DER	degradation in the ER
ERAD	ER-associated degradation
FPP	farnesyl pyrophosphate
HMGR	3-hydroxy 3-methylglutaryl-CoA reductase
HRD	HMG-CoA reductase degradation
SCAP	SREBP cleavage-activating protein
UBC	ubiquitin-conjugating enzyme
UPR	unfolded protein response

## Introduction: degradation for quality control or regulation

Recently, there has been an explosion of interest and information about ER-associated protein degradation (ERAD) [1–3]. This degradation process is responsible for the destruction of both integral membrane and lumenal proteins, and functions in protein quality control, where damaged or unfolded proteins are selectively targeted for degradation, while correctly folded ones are spared.

Both normal and misfolded proteins undergo highly specific degradation. Selective degradation of correctly folded proteins underlies cellular regulation of many processes [4]. Examples include degradation of cyclins or their inhibitors to control the cell cycle, destruction of  $\alpha$ HIF in response to changing O<sub>2</sub> levels, degradation of I $\kappa$ B to launch innate immunity responses, light-induced proteolysis of phytochrome, or regulated degradation of p53 in both health and disease. In these and innumerable other cases, features that uniquely specify the targeted protein are recognised, usually by ubiquitin ligases, to effect selective degradation.

By contrast, quality-control degradation requires recognition of hallmarks of misfolding shared by a diverse group of proteins with no sequence similarity. Such criteria might seem less useful for cellular regulation of functional cellular proteins. Nevertheless, studies indicate that the essential enzyme 3-hydroxy 3-methylglutaryl coenzyme A reductase (HMG-CoA reductase, HMGR) can undergo physiologically regulated degradation by ERAD. Beyond this demonstrated role in sterol regulation, regulation by quality-control degradation is likely to be used in many cellular situations, and may present new avenues for pharmaceutical modulation of protein levels. Specifically, I will discuss new developments in the understanding of ERAD, including work on specific ubiquitin ligases, substrate recognition, retrotranslocation, and the role of this broadly used pathway in the control of sterol synthesis.

# HMG-CoA reductase: a regulated ER degradation substrate

HMGR is a rate-limiting enzyme of the mevalonate pathway, by which sterols and a variety of essential isoprenoids are made. In eukaryotic cells, HMGR is controlled, in part, by regulated ER degradation [5–8]. When flux through the sterol pathway is high, degradation rate is high and levels of the protein tend to be low. When flux is low, degradation rate is low and enzyme levels tend to increase. Depending on cell type and signal strength, HMGR half life can vary between >10 h, and <20 min. HMGR is an ER-resident membrane protein, with a highly conserved, catalytic carboxyl terminus attached to a polytopic amino-terminal transmembrane anchor. The transmembrane region is essential for feedback control of stability and will impart regulated degradation to fusion proteins without catalytic activity.

So, what is the mechanism of regulated HMGR destruction, and what is the connection to the ERAD pathway?

### Yeast HMG2 degradation: the HRD pathway and ER ubiquitination

The yeast HMGR isozyme Hmg2p undergoes regulated degradation in a manner very similar to the mammalian enzyme. Isolation of HRD (HMG-CoA reductase degradation) genes required for HMGR degradation has revealed proteins involved all along the degradation pathway ([9,10<sup>••</sup>,11<sup>•</sup>]; N Bays and R Hampton, unpublished data).

### Figure 1

Two ER-associated ubiquitin ligases. The Hrd1p/Hrd3p complex is shown on the left, and the Doa10p ligase is shown on the right. The functionally associated ubiquitin conjugating enzymes (E2s) are also shown, along with Cue1p, which anchors Ubc7p to the lumenal face of the ER membrane. In both cases, a multi-spanning RING-domain protein (Hrd1p or Doa10p) is a key component of the complex. In all likelihood, still other ubiquitin E3s are involved in ER degradation of some substrates.



HRD2 encodes the RPN1 subunit of the 26S proteasome, and other HRD mutants are alleles of numerous proteasomal genes. Many proteins are targeted to the proteasome by covalent addition of multiple copies of the 7.6 kDa protein tag ubiquitin to form a multiubiquitin chain [4]. Specific ubiquitination is catalyzed by a ubiquitin protein ligase, or E3, that brokers the transfer of ubiquitin from a ubiquitinconjugating enzyme (UBC, E2) to the target protein or the growing multiubiquitin chain. Hrd1p and Hrd3p are key components of an ER-anchored ubiquitin ligase of the growing RING-motif family of E3s. The cytosolic RING-H2 domain of Hrd1p is required for Hmg2p ubiquitination in vivo, and displays ligase activity in vitro [10.]. Hrd3p functions in the ER lumen in multiple aspects of Hmg2p degradation, including transmembrane regulation of Hrd1p self-degradation [12<sup>•</sup>]. Hrd1p and Hrd3p form a complex that mediates interaction of E2 with degradation substrate [13.]. The HRD ligase uses the ER-anchored Ubc7p (Hrd10p) as the principle UBC, and, to a lesser extent, Ubc1p [10<sup>••</sup>]. Ubc7p is anchored to the ER by Cue1p [2] (Hrd13p). Figure 1 depicts the HRD ligase with relevant E2s. The ubiquitin-proteasome pathway is also responsible for HMGR degradation in mammals [14,15\*\*]. Although the machinery of mammalian HMGR ubiquitination and membrane extraction has not been determined, there are mammalian homologues of most HRD components that are good candidates for the job in larger eukaryotes [16-18].

### CPY\* degradation and the DER pathway of ER-associated degradation

The genetics of ER quality-control degradation has been analysed by the Wolf laboratory. Their studies focused on CPY\*, a mutant version of the normally vacuole-bound carboxypeptidase Y that is retained in the lumen of the ER, where it is degraded with a half life of around 20 minutes. Genes for CPY\* degradation in the ER (DER) have been identified, revealing the unanticipated role of the cytosolic ubiquitin–proteasome pathway for the degradation of this demonstrably lumenal substrate [19,20]. Despite the conceptual disparity between quality control destruction of CPY\* and regulated degradation of Hmg2p, the same machinery is employed for ubiquitination. The encoded DER proteins include Der3p, which is identical to Hrd1p [21\*\*], Hrd3p [22], the E2s Ubc7p (Der2p [19]) and Ubc1p [23\*\*], as well as several proteins specifically required only for lumenal substrates (e.g. Der1p). Work by numerous laboratories has shown that this pathway degrades a variety of misfolded or unassembled proteins with no similarity in sequence [24,25,26\*].

These studies using model substrates imply that the HRD/DER pathway is used for degradation of naturally arising misfolded proteins. This idea is supported by the observations that *hrd1-* or *hrd3-*null mutants are more sensitive to applied ER stresses, and have higher levels of unfolded ER proteins in the absence of stress, as measured using reporters regulated by the unfolded protein response (UPR), a signaling pathway that is keyed to levels of lumenal misfolded proteins [23\*,27\*]. This latter result is particularly intriguing because it implies that unfolded protein levels in the ER are kept in check by continuous degradation of misfolded proteins — either nascent ones that partition into the degradation pathway while still unfolded, or those that become misfolded for other reasons.

More recent work has led to the realisation that ERAD is complex and multifaceted. Below, I discuss and delineate some of the new findings of this burgeoning field, including the unexpected use of ERAD for the regulation of HMGR and probably other proteins.

### Between ubiquitination and the proteasome: Cdc48 and retrotranslocation

Removal of both membrane proteins and purely lumenal ERAD substrates presents a significant energy barrier. A variety of current studies focus on a molecular complex that appears to manage this problem. The more recently characterized Hrd4p functions after Hmg2p ubiquitination, promoting delivery of the ubiquitinated Hmg2p to the proteasome [11\*]. Hrd4p is identical to Npl4p, and exists in a complex with AAA-ATPase Cdc48p and Ufd1p

to promote proteasomal degradation or processing of a growing number of ubiquitinated substrates in mammals and yeast [11•,28•–33•]. The Cdc48p–Npl4p–Ufd1p complex has been postulated to effect removal of ubiquitinated proteins from the ER and/or multiprotein complexes (see [34] for a more detailed review).

The ER translocation channel Sec61p has also been proposed to be involved in retrotranslocation of ERAD substrates from the ER compartment, providing a route for peptide exit [35–37]. This might be fuelled by Cdc48p ATPase activity. Although evidence for the role of Sec61p is not definitive, this reasonable possibility will certainly be an ongoing focus that will lead to more mechanistic resolution.

# Other ER ubiquitin ligases: a growing group of guardians

The HRD/DER complex and its ancillary proteins can degrade an impressive variety of substrates. However, there are numerous misfolded proteins that are ubiquitinated by the ER-associated E2 Ubc7p in a Hrd1p-independent manner. These non-Hrd1p substrates include mutant ER-retained uracil permease [25], unassembled Vph1p [38], and mammalian CFTR (cystic fibrosis transmembrane conductance regulator) expressed in yeast [39].

These exceptions indicate that other ubiquitin ligases participate in ERAD. In fact recently, a new yeast ERresident multi-spanning ubiquitin ligase, Doa10p, has been cloned and characterized [40<sup>••</sup>]. Like Hrd1p, Doa10p has a role in ERAD, as indicated by increased UPR signaling in *doa10*-nulls. In contrast to Hrd1p, Doa10 uses E2s Ubc7p and Ubc6p, and its model substrates are Hrd1p-independent (Figure 1). Doa10p and Hrd1p appear to work together to maintain acceptable levels of misfolded ER proteins: loss of both proteins causes a larger UPR than loss of either alone. Interestingly, the ER degradation of Ole1p has been reported to be unaffected by loss of either Hrd1p or Doa10p [28<sup>•</sup>], implying that other ER E3s await discovery.

# How are misfolded proteins recognised for quality control degradation?

The mechanism of misfolded protein recognition in ERAD remains an open question. It is not surprising that chaperones have been implicated in several studies. It appears that the lumenal heat shock protein 70 (Hsp70) Kar2p may be more important in degradation of lumenal ERAD substrates [35], whereas the four cytosolic Hsp70s, Ssa1–4, play a more significant role in degradation of integral membrane substrates [39]. Only a small number of substrates have been examined so far, however.

In recent studies, a chaperone-containing ubiquitin ligase was described. It is formed by mutual binding of the U-box protein CHIP with a chaperone and an E2, leading to a complex that specifically ubiquitinates chaperone-recognised misfolded proteins [41°-43°], including the ER membrane protein CFTR [44°°]. A similar role for

chaperones (i.e. substrate recognition) may exist in ERAD ligases as well. It is interesting that the Hrd3p lumenal domain has numerous tetratricopeptide repeats, independently implicated in interactions between chaperones and various binding partners [45]. Conversely, it may be that the ligase subunits themselves participate in recognition of misfolded proteins. The Hrd1p cytosolic RING domain has the intrinsic capacity to ubiquitinate a model misfolded protein *in vitro* [10<sup>••</sup>], a behaviour that might reflect an aspect of chaperone-independent identification of quality-control substrates.

# Is Golgi function required in ER-associated degradation of some proteins?

Several laboratories have made the surprising discovery that the route of ER degradation taken by soluble lumenal proteins and ER-membrane-anchored proteins may be different. Experiments using mutants deficient in secretory pathway traffic [26°,46°], and direct biochemical studies using in vitro budding assays [26•], indicate that lumenal proteins may require a visit to the Golgi compartment before their destruction by ERAD. These authors propose that lumenal proteins must be cycled through the Golgi compartment, and then retrieved to the ER before being competent for degradation. By contrast, integral membrane ERAD substrates do not generally have this requirement, although not enough substrates have been tested to strengthen this generalization. Genes required for aspects of this process - BST1 [26•], ERV29 and ERV14 [46•] have been implicated previously in aspects of ER-Golgi transport, although BST1 appears to be involved specifically in transport of misfolded proteins, adding another process where recognition of features of misfolding occurs.

At present, it is not clear what features of a Golgi visit would render substrates ERAD-competent. One possibility is that some sort of glycosylation or processing of carbohydrates must occur. Alternatively, it could be that other Golgi modifications are involved, or that the retrotranslocation process itself allows efficient presentation of lumenal substrates to the ERAD machinery. However, another interpretation cannot be ignored [47<sup>•</sup>]. Mutants deficient in ER-to-Golgi cycling will most certainly have effects on the ER compartment, and far more work must be done to decide if these observations are reflecting a deficiency in ER function or a bone fide requirement for Golgi visitation for lumenal ERAD. Whatever the mechanism, these new observations make ER degradation a far more dynamic process, allowing for a whole new layer of stability regulation based on altering ER exit or return.

# Regulation of Hmg2p: HRDing a normal protein into the not-OK corral

The ongoing body of work on many aspects of ERAD shows that unfolded proteins can undergo constitutive degradation by a variety of mechanisms. However, unlike any of the typical ERAD substrates, HRD-dependent degradation of Hmg2p is subject to physiological feedback control. This exception is interesting in its own right, by virtue of the connection to cholesterol, but also indicates the strong possibility that ER quality-control pathways are involved in unknown aspects of cellular regulation.

Hmg2p ubiquitination is positively regulated by the 15-carbon mevalonate pathway intermediate farnesyl pyrophosphate (FPP), or a molecule derived from it [48]. Altering cellular FPP levels causes parallel alterations in Hmg2p degradation rate, and this regulation requires Cod1p/Spf1p, an ER-localized P-type ATPase [49,50]. Maximal response to FPP requires an oxysterol in yeast as well [51]. Similarly, mammalian HMGR degradation is keyed to levels of an FPP-derived signal that works in concert with sterols [52,53]. Regulated degradation of Hmg2p is a unique property of the protein, and is highly sensitive to alterations in sequence [54]. Other HRD substrates, including damaged versions of Hmg2p, undergo constitutive, unregulated degradation. The entire pool of Hmg2p is subject to regulated entry into the HRD pathway, and mature protein can be rapidly mobilized to enter the HRD pathway by elevating FPP [8].

### The structural transition model for regulated ERAD

How is Hmg2p allowed regulated entry into a qualitycontrol pathway? The most direct model is that Hmg2p can adopt or reveal the structural features of a qualitycontrol substrate when degradation signals are high (Figure 2). This idea was tested by adapting an approach used in the study of CFTR  $\Delta$ F508, the major diseasecausing form of the cystic fibrosis protein CFTR. CFTR  $\Delta$ F508 is functional, but folds so slowly that it is degraded by ubiquitin-mediated ER degradation before it can attain a degree of folding maturity sufficient to exit the ER and move to the plasma membrane. The folding defect of CFTR  $\Delta$ F508, and the resulting lack of cell surface chloride ion conductance, can be alleviated in living cells by treatment with the chemical chaperone glycerol [55,56]. This class of structurally diverse compounds promotes the folding of proteins in vitro and in vivo [57].

If Hmg2p is recognised as a quality-control substrate, then glycerol might be expected to similarly stabilize this protein in living yeast cells. In conditions where signals for degradation are high, glycerol and other chemical chaperones cause rapid (5 min) stabilization of Hmg2p or the regulated Hmg2p-GFP reporter [13\*\*]. The extent of stabilization caused by glycerol is identical to that observed when physiological signals for degradation are lowered with drugs, and the maximal effects of glycerol and physiological stabilization are not additive. Glycerol treatment affects neither the HRD machinery nor the mevalonate pathway. These simple studies engender several more refined questions. For example, are there directly observable changes in Hmg2p structure that can be observed by manipulating the signaling pathway, or by applying chemical chaperones? Is the stabilized state caused by glycerol similar





The structural transition model for regulated degradation of Hmg2p. In cells with high levels of FPP, Hmg2p is recognised as an ERAD quality-control substrate by the HRD/DER machinery. Lowering FPP levels or glycerol cause a rapid, reversible stabilization of Hmg2p. Although the structural change is depicted as graphically dramatic, it may be that subtle determinants are presented or unveiled when FPP is high, triggering recognition as an ERAD substrate. Regulated transitions to ERAD or other quality-control substrates have broad potential as both undiscovered mechanisms in normal cellular regulation and as undeveloped axes for chemical modulation of individual proteins *in vivo*.

to that caused by lowering FPP-derived signals? How does FPP or its derivatives cause this change? Limited proteolysis studies on microsomal Hmg2p appear to be a promising avenue [13<sup>••</sup>], and are being refined to best address this 'structural transition hypothesis'.

This model of yeast Hmg2p regulation has parallels to mammalian HMGR. It has been suggested that mammalian HMGR is susceptible to ERAD when monomeric, is stable when a dimer, and that this transition is physiologically regulated [58]. This can be viewed as regulated transition to a quality control structure, because many quality control substrates are unassembled (monomeric) subunits of multimeric structures. In fact, it could be that the same occurrence underlies the HRD-sensitive state of Hmg2p.

## Biological and medical implications of regulation by quality control

The programmed entry of Hmg2p into the ER qualitycontrol pathway is an example of a mode of protein regulation that could occur in many circumstances. Considering the number of separate routes by which ERAD appears to occur, it is quite possible that other proteins are similarly regulated. It has been reported that yeast  $\Delta 9$  fatty acid desaturase, or Ole1p, undergoes regulated degradation in the ER membrane, and is thus a reasonable candidate for regulated ERAD [28°].

The idea of regulation by ER quality-control mechanisms can transcend degradation. Mammalian SREBP cleavageactivating protein (SCAP), which shares with both HMGR and, to a lesser extent, Hmg2p a transmembrane motif known as the sterol-sensing domain, is retained in the mammalian ER when sterol levels are elevated [59]. This sterol-triggered retention is central to SCAP-mediated regulation of the SREBP transcriptional regulator. Although degradation is not the final response, ER retention is another frequently described cellular response to misfolded proteins. Perhaps SCAP undergoes a sterol-mediated structural transition that renders it susceptible to retention by ER quality-control mechanisms?

Cellular use of quality-control pathways need not be restricted to the ER. Selective destruction of misfolded proteins occurs in numerous eukaryotic cellular compartments, and in prokaryotes, and each specific pathway has the potential for regulatory employment.

The example set by Hmg2p also suggests a general strategy for controlling proteins by pharmaceutical means. In the broadest sense, a molecular signal causes HMGR to become susceptible to quality-control degradation. Perhaps it is possible to discover small molecules that, upon specifically binding to a target protein, similarly cause that protein to present or acquire features of a quality-control substrate and undergo selective, rapid degradation. Considering the ubiquity of quality-control pathways, this highly specific strategy of protein targeting could be very generally effective if systematic ways to discover and develop such 'protein quality antagonists' can be devised. However, working out the detailed mechanisms involved in recognition and destruction of quality-control protein is a sine qua non for the eventual realisation of this novel strategy.

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This paper, with Connell et al. (2001) [42•] and Murata et al. (2001) [43•], show that the U-box protein CHIP can serves as an adaptor to assemble a chaperone-based quality control ubiquitin ligase, allowing specific recognition of misfolded proteins that bind to the recruited chaperone.

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